PATENT 9-30-02

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Signature

Applicant

Craig L. Ogg

Application No.:

09/690,796

Filed Title October 17, 2000

SECURE AND RECOVERABLE DATABASE FOR

ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div.

2161

Examiner Docket No.

To be assigned 39477/RRT/S850

INFORMATION DISCLOSURE STATES 37 CFR § 1.97(b)

Post Office Box 7068 Pasadena, CA 91109-7068 September 9, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of undersigned's knowledge, this Statement is being filed before the mailing date of a first Office action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609. Any deficiency of fees for this transaction should be charged or

Application No. 09/690,796

credited to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

> Respectfully submitted, CHRISTIE, PARKER & HALE, LLP

By _

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/mas

Enclosures: PTO/SB/08A/B, w/references (2) CAM PAS459488.1-*-9/9/02 11:36 AM

FORM PTO/SB/08A/B (10-01)			
Substitute for	PTO-1449A/B		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	39477/RRT/S850	
Application Number	09/690,796	
Filing Date	October 17, 2000	
Applicant(s) SEP 1 6 2002	Craig L. Ogg	
Group Art Unit MADEMARK OF	2161	
Examiner Name	To be assigned	

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - kind code ² - (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
	·	5,319,562	06-07-1994	Whitehouse
		5,768,132	06-16-1998	Cordery et al.
				DECEIVED
				RECEIVED
				SEP 2 0 2002

	 FOREIG	N PATENT DOC	CUMENTS GRO	DUP 360 0
EXAMINER INITIALS	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
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OTHER DOCUMENTS				
EXAMINER Cite Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, ser symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
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EXAMINER	1	DATE	
SIGNATURE		CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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